

| REQUEST FOR A GRANTING STATUS OF THE RETAIL CLIENT EXPERIENCED | |
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| IDENTIFICATION DATA | |
| Forename and surname (name) | |
| Personal Identification Number (PESEL) or TIN | |
| Account number | |
| REQUEST CONTENT | |
| <p>I declare that I'm an entity that meets two criteria in total: <u>(one checkbox should be marked with each criteria)</u></p> | <p>1) <u>conclusion over the last 24 months:</u></p> <p><input type="checkbox"/> opening transactions in the scope of CFD (contracts for differences) with a nominal value at PLN of at least EUR 50 000 EUR each (equivalent), at a frequency of at least 10 opening transactions per quarter during four quarters; or <i>(above is confirmed in particular by statements of transactions in the financial instruments account for the last 24 months)</i></p> <p><input type="checkbox"/> opening transactions in the scope of CFD (contracts for differences) with a nominal value at PLN of at least EUR 10 000 EUR each (equivalent), at a frequency of at least 50 opening transactions per quarter during four quarters; or <i>(above is confirmed in particular by statements of transactions in the financial instruments account for the last 24 months)</i></p> <p><input type="checkbox"/> opening transactions in the scope of CFD (contracts for differences) with a total nominal value at PLN of at least EUR 2 000 000 EUR (equivalent), whereas the Client has concluded at least 40 opening transactions for a quarter during four quarters. <i>(above is confirmed in particular by statements of transactions in the financial instruments account for the last 24 months)</i> <i>The equivalent of amounts expressed in EUR is determined using the average euro exchange rate announced by the National Bank of Poland, in force on the day preceding the date of submission of the request by the Retail Client.</i></p> <p>2) <u>having appropriate knowledge about derivative instruments, including CFD (contracts for differences), supported by:</u></p> <p><input type="checkbox"/> obtaining relevant professional certificates, in particular: Investment Adviser, Stockbroker, Chartered Financial Analyst, Financial Risk Manager, Professional Risk Manager, ACI Dealing Certificate, ACI Diploma; or <i>(above is confirmed by the aforementioned certificates or other unamed, reputable certificated confirming the Client's knowledge allowing for making the right investment decisions and assessing the risks associated with it)</i></p> <p><input type="checkbox"/> appropriate education; or <i>(above is confirmed in particular by diplomas, supplements to diplomas or other, similar documents confirming the achievement of relevant education)</i></p> <p><input type="checkbox"/> completing a minimum of 50 hours of training related to derivative instruments, including CFD (contracts for difference), confirmed by obtaining relevant certificates or confirmations issued on the basis of knowledge verification by relevant training providers during the last 12 months; or <i>(above is confirmed by the certificates or other documents containing the above-mentioned information issued by CFD suppliers or other entities with appropriate competences and human resources necessary to conduct training and verification of knowledge)</i></p> <p><input type="checkbox"/> confirmation that the Retail Client performs or performed activities working on the basis of a contract of employment or other contractual relationship, for at least one year in a position that requires professional knowledge regarding CFD transactions or other derivative instruments. <i>(above is confirmed in particular by the Client's CV, work certificate, civil law</i></p> |

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| | <i>agreements defining the scope of duties or other documents confirming the length of service in the financial sector and knowledge in the field of investing in specific financial instruments)</i> | |
| Attachments confirming the fulfillment of selected criterias: | | |
| <p><i>Dom Maklerski TMS Brokers S.A. at least once a year verifies that the Client who has been granted the status of an Retail Client Experienced, meet the above criteria.</i></p> <p><i>In the case of information that an Retail Client Experienced has ceased to meet the above criteria, Dom Maklerski TMS Brokers S.A. takes actions aimed at changing the Client's status for the purpose of providing brokerage services to the Client in the scope of CFD, but this has no impact on the value of the initial margin for items resulting from CFD transactions concluded before the loss of this status.</i></p> | | |
| I hereby confirm the truthfulness of the data provided by me. | | |
| <i>Date</i> | <i>Forename and surname (Forenames and surnames of persons entitled to representation)</i> | <i>Signature (Signatures of persons entitled to representation)</i> |