

REQUEST FOR A CHANGE OF THE CLIENT CATEGORY FROM RETAIL CLIENT INTO PROFESSIONAL CLIENT CATEGORY – LEGAL PERSON	
IDENTIFICATION DATA	
Forename and surname (name)	
Personal Identification Number (PESEL) or TIN	
Account number	
REQUEST CONTENT	
<p>I declare that I am an entity belonging to the statutory list of Professional Clients as:</p> <p><i>(please tick one box)</i></p>	<p><input type="checkbox"/> bank;</p> <p><input type="checkbox"/> investment firm;</p> <p><input type="checkbox"/> insurance undertaking;</p> <p><input type="checkbox"/> investment fund, alternative investment company, investment fund management company or AIC's managing party;</p> <p><input type="checkbox"/> pension fund or pension fund management company;</p> <p><input type="checkbox"/> commodity brokerage house;</p> <p><input type="checkbox"/> entity which as part of its business activities deals on own account on markets in forwards, options or other derivatives or on cash markets for the sole purpose of hedging positions on those markets or which deals for this purpose for the accounts of other members of those markets, if the responsibility for the performance of obligations under those transactions is assumed by clearing members of the same markets, e.g. currency exchange bureau.</p> <p>By ticking the above box I hereby declare that I am an entity which is subject to the requirement to obtain a permit or an entity regulated on other legal basis by an EU member state or a third country and I am a financial institution.</p> <p><i>(the above is confirmed in particular by an entry of PKD 64.99 in the register)</i></p> <p><input type="checkbox"/> financial institution other than the above;</p> <p><input type="checkbox"/> institutional investor other than the above conducting regulated activities on the financial market;</p> <p><input type="checkbox"/> entity conducting outside the Republic of Poland the activities equivalent to the activities of the entities listed above;</p> <p><input type="checkbox"/> public body that manages public debt, central bank, the World Bank, the International Monetary Fund, the European Central Bank, the European Investment Bank or other similar international organisation;</p>

	<input type="checkbox"/> other institutional investor whose main activity is to invest in financial instruments, including entities dedicated to the securitisation of assets or other financing transactions. By ticking the above box I hereby declare that I am an entity whose main activity is to invest in financial instruments. <i>(the above is confirmed in particular by an entry of PKD 64.99 in the main business activity section in the register)</i>	
Appendices confirming the satisfaction of the option selected by me:		
<p>I declare that TMS Brokers informed me of the principles of treatment of Professional Clients by TMS Brokers as part of the brokerage services provided, transactions or financial instruments. Being aware of the lower level of protection, I hereby confirm that I uphold my request to treat me as a Professional Client. In addition, I confirm the truthfulness of the data provided by me and I undertake to update them.</p> <p>By signing this application I declare that I have received and familiarized myself with the Specifics of Financial Instruments TMS Connect Professional and the Table of Commissions and Fees TMS Connect Professional.</p>		
<i>Date</i>	<i>Forename and surname</i> <i>(Forenames and surnames of persons entitled to representation)</i>	<i>Signature</i> <i>(Signatures of persons entitled to representation)</i>