

Information on accepting and submitting incentives at Dom Maklerski TMS Brokers S.A.

Dom Maklerski TMS Brokers S.A. (hereinafter: "**TMS Brokers**"), conducting brokerage activities operates in a reliable and professional manner, in accordance with the principles of fair trading and in accordance with the best interests of Clients. TMS Brokers does not accept or transfer any cash benefits, including fees and commissions, or any non-cash benefits in connection with the provision of a brokerage service to the Client, except for:

- 1) cash or non-cash benefits accepted from the Client or from a person acting on its behalf and cash or non-cash benefits provided to the Client or a person acting on his behalf;
- 2) cash or non-cash benefits accepted or provided to a third party, which are necessary for the performance of a given brokerage service for the benefit of the Client, in particular:
 - a) costs of storing the Client's financial instruments and funds entrusted by the Customer,
 - b) fees charged by the entity organizing the system of trading in financial instruments and fees for settlement and settlement of transactions,
 - c) fees to the supervisory body,
 - d) taxes, public and legal debts and other fees whose payment is required by law,
 - e) fees related to currency exchange;
- 3) cash benefits and non-cash benefits other than those specified in points 1 and 2, if:
 - a) they are accepted or transferred in order to improve the quality of the brokerage service provided by TMS Brokers to the Client,
 - b) their acceptance or transfer does not have an adverse effect on the operation of TMS Brokers in a reliable and professional manner, in accordance with the principles of fair trading and in accordance with the best interests of its client,
 - c) information on benefits, including their nature and amount, and if the amount of such benefits can not be estimated - on the manner of determining their amount, was provided to the client or potential customer in a reliable, accurate and understandable manner before commencing the provision of a given brokerage service, this condition is also deemed fulfilled in the case of providing information to the client or potential customer in a standardized form.

For the services provided, TMS Brokers charges fees and commissions from Customers in accordance with the relevant Table of Fees and Commissions or on the terms set out in the regulations governing the provision of a given brokerage service.

TMS Brokers may not accept fees, commissions and non-cash benefits other than those indicated in point. 1) - 3).

In the case of providing investment brokerage services in an independent manner or portfolio management services, which includes one or more financial instruments, TMS Brokers may not accept cash benefits or non-cash benefits from a third party in connection with the provision of this service.

The prohibition referred to above shall not apply to minor non-cash benefits accepted by TMS Brokers if:

- 1) these services may improve the quality of investment advisory services or management of financial instruments portfolios for the benefit of the Client;
- 2) the total size and nature of these benefits would not adversely affect the compliance of TMS Brokers with the duty to act in accordance with the best interests of the client;
- 3) information about benefits, including their nature and amount, and if the amount of such benefits can not be estimated - on how to determine their amount, was provided to the client or potential customer in a reliable, accurate and understandable manner before the start of the investment advisory service or portfolio management services that include one or more Financial Instruments, which may be described in a general manner.

Payments that do not comply with legal regulations are classified as not allowed and are not collected and transferred.

The table below presents the types of transferred fees, commissions or non-cash benefits, other than those indicated in the Table of Fees and Commissions or in the contract for the provision of a given brokerage service.

The trade name of the service with which the fee, commission or non-cash benefit is associated	TMS Brokers acts as a forwarder or collector	Type (commission, bonus, etc.)	The name of the Contractor	The size of the fee, commission or non-cash benefit
All services	Collector	Training for employees	Various third parties that provide investment services to TMS Brokers	Free
Asset management	Forwarder	Application supporting the management process - Saxo Portfolio Manager	Saxo Bank A / S (Collector)	EUR 500 per user (PLN 2,144 according to the exchange rate of October 22, 218)
Asset management	Forwarder	Fee for opening an account as part of the asset management service	Saxo Bank A / S (Collector)	EUR 25 per account (PLN 107 according to the exchange rate of October 22, 218)
TMS Direct	Forwarder	The application facilitates the execution of orders by customers	Saxo Bank A / S (Collector)	Free
TMS Direct	Collector	Rebate system/ cash back	Saxo Bank A/S (Forwarder)	From 0% to 10% of the total commission amount
TMS Direct	Forwarder	Rebate system/ cash back	TMS Brokers Clients (Collector)	from 1% to 50% of the value of the spread, commission or cost of holding the position paid by the Client
TMS Trader. TMS Connect i TMS Prime and TMS Markets	Forwarder	Rebate system/ cash back	TMS Brokers Clients (Collector)	from 1% to 30% of the value of the spread or commission paid by the Client
Calculating interest on cash entrusted by clients - various services	Forwarder	interest	Banks in which TMS Brokers stores cash entrusted by the Clients (forwarder)	Determined individually in the contract (from 0% to 2%)
A general advice service	Forwarder	Free	Customer (Collector)	Free
A general advice service provided by third parties	Forwarder	Free	Customer (Collector)	Free
Reporting transactions to the trade repository	Forwarder	Fee for the trade repository	KDPW (Collector)	Defined in the KDPW resolution https://www.kdpw.pl/pl/repozytorium-transakcji/Documents/Tabela%20Op%C5%82at.pdf

Dom Maklerski TMS Brokers SA, Złote Tarasy (Budynek Skylight), ul. Złota 59, 00-120 Warszawa
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Dom Maklerski TMS Brokers SA, podlega Komisji Nadzoru Finansowego. Spółka zarejestrowana przez Sąd Rejonowy dla m.st. Warszawy w Warszawie, XII Wydział Gospodarczy Krajowego Rejestru Sądowego pod numerem KRS 0000204776
 Kapitał zakładowy: 3.537.560 zł, kapitał wpłacony: 3.537.560 zł, NIP: 526-27-59-131, REGON: 015715078

All services	Forwarder	Small non-monetary benefits of an occasional nature (gifts, gifts)	TMS Brokers clients (Collector)	Up to PLN 1,000
All services	Collector	Small non-monetary benefits of an occasional nature (gifts, gifts)	Various third parties that provide investment services to TMS Brokers	Up to PLN 1,000
All services	Forwarder	Conferences and trainings for clients	TMS Brokers clients (Collector)	Up to PLN 2,000
All services	Forwarder	Educational materials (eg books, electronic recordings)	TMS Brokers clients (Collector)	Up to PLN 1,000
TMS Trader, TMS Connect, TMS Prime, TMS Markets, TMS Direct, TMS MiniDirect, TMS Stocks	Collector	Fees related to making payments to the Client's cash account	TMS Brokers clients (Forwarder)	From 0% to 2% of the deposit amount
TMS Trader, TMS Connect, TMS Prime, TMS Markets, TMS Direct, TMS MiniDirect, TMS Stocks	Forwarder	Fees related to making payments to the Client's cash account	Blue Media S.A. (Collector), Dotpay S.A. (Collector)	From 0% to 1,5% of the deposit amount
TMS Stocks	Collector	Stock exchange fees on the sale of securities (stocks)	Order execution system	A return by TMS Brokers of US dollars 22.10 per million US dollars worth of shares sold.
TMS Stocks	Collector	Holding fees	The depositary, i.e. KBC Group N.V.	TMS Brokers reimbursement of 0.03% per annum.
TMS Trader, TMS Connect, TMS Prime, TMS Markets	Collector	Rebate system/ cash back	X-Trade Brokers Dom Maklerski S.A.	From 0% to 50% discount on the spread on some financial instruments

TMS Brokers accepts benefits in the form of interest obtained from cash entrusted by clients. TMS Brokers expends the funds obtained for the following purposes, which serve to improve the quality of brokerage services provided by TMS Brokers to the Client.

	2014	2015	2016	2017	2018	2019
Objectives for which benefits have been expended						
providing customers with real-time stock market data without charging for distribution from Clients	14%	36%	43%	74%	75%	73%
systems supporting brokerage services (eg. implementation of the NonStop system to manage the account, web platform, graph analysis tool)	50%	48%	15%	0%	0%	0%
information service	5%	3%	5%	7%	8%	7%
technology	32%	13%	38%	19%	17%	20%

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TOGETHER:	100%	100%	100%	100%	100%	100%
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