

Adequacy assessment questionnaire

Legal person or an organisational entity without legal personality

Please fill in the Questionnaire containing information necessary for TMS Brokers to determine whether the Client has experience and knowledge in the field of investments necessary for the conscious taking of risks related to the offered or purchased investment product or service. This information will be the basis for assessing whether the investment service is adequate for the Client. The reason for the adequacy assessment is to enable TMS Brokers to act in the best interest of the Client. The assessment of service adequacy concerns the Client. In the case of Clients who are not natural persons, TMS Brokers shall conduct an adequacy assessment for the Client analysing the adequacy for persons authorised to submit instructions and orders resulting from the Agreement on behalf of the Client, indicated in the list provided to TMS Brokers (hereinafter referred to collectively as: "authorized persons"). If the Agreement is concluded by a proxy authorised to conclude the Agreement, authorised by the persons authorised to represent the entity, the Questionnaire should be completed and signed by the proxy, with the reservation that the proxy, when completing the Questionnaire, provides information on the Client's knowledge and experience in the field of investment. In the case of appointing a proxy authorised to place orders and instructions on behalf of the Client, the Questionnaire shall be filled in and signed by the proxy, and when filling in the Questionnaire, the proxy shall provide information on its own knowledge and experience in the field of investment.

If you fail to provide us with such information, or if the information provided by you regarding your investment knowledge and experience is not sufficient to determine whether the service or product envisaged is appropriate for you, we will warn you. However, this shall not prevent you from entering into an Agreement with TMS Brokers if you apply to us to enter into such an Agreement in spite of a warning and we accept your application. TMS Brokers may refuse to conclude an Agreement with the Client if it considers that the service provided would be significantly inadequate for the Client.

QUESTIONS	
1	<p>Persons authorized to conclude transactions on behalf of and for the account of the Client have knowledge or education in derivative instruments acquired through:</p> <ul style="list-style-type: none"> • participation in on-line courses, • site trainings, • using the educational materials, <p>or activities related to investing in derivative instruments similar to those offered by TMS Brokers (e.g. CFDs):</p> <p><input type="checkbox"/> Yes; <input type="checkbox"/> No.</p>
2	<p>Persons authorised to deal on behalf of and for the account of the Client shall work or have worked in the financial sector in a position that requires professional knowledge of derivative transactions (for ex. CFDs, futures, options):</p> <p><input type="checkbox"/> they did not work in the financial sector or worked less than a year; <input type="checkbox"/> have worked for a period of at least one year (however less than two years); <input type="checkbox"/> have worked for at least two years.</p>
3	<p>What experience persons authorised to deal on behalf of and for the account of the Client have in the area of transaction systems (for ex. MT4, MT5) enabling transactions on financial instruments offered by TMS Brokers:</p> <p><input type="checkbox"/> they used a demo version; <input type="checkbox"/> they used a real version; <input type="checkbox"/> they do not have an experience.</p>
4	<p>How many transactions have the Client made on instruments on the organized market such as shares, bonds, derivatives?</p> <p><input type="checkbox"/> more than 15; <input type="checkbox"/> 15 or less; <input type="checkbox"/> 0.</p>
5	<p>How many transactions have the Client made on the derivatives instruments using financial leverage over the last year?</p> <p><input type="checkbox"/> more than 15; <input type="checkbox"/> 15 or less; <input type="checkbox"/> 0.</p>
6	<p><i>(please answer in the case of selection in question No. 5 of an answer other than "0")</i> The average nominal value of my transactions in financial instruments offered by TMS Brokers or other brokerage houses was:</p> <p><input type="checkbox"/> from 0 to 9 999 EUR (for ex. 0.01 and 0.09 lot per EUR / USD currency pair); <input type="checkbox"/> from 10 000 to 49 999 EUR (for ex. 0.1 and 0.49 lot per EUR/USD currency pair); <input type="checkbox"/> 50 000 EUR or more (for ex. 0.5 lot or more per EUR/USD currency pair).</p>

7	<p><i>(please answer in the case of selection in question No. 5 of an answer other than "0")</i> The transactions that the Client carried out were:</p> <input type="checkbox"/> speculative nature (using short-term price movements of financial instruments to obtain benefits); <input type="checkbox"/> hedging nature (hedging transactions and cash flows); <input type="checkbox"/> saving nature.
8	<p>An order which, in principle, consists in closing an open position in order to limit losses is an order?</p> <input type="checkbox"/> stop loss; <input type="checkbox"/> take profit; <input type="checkbox"/> I do not know.
9	<p>After the Investor bought a CFD contract based on the EUR/PLN spot rate, the EUR currency strengthened in relation to PLN (the rate increased). What will be the effect of this transaction if a position is closed?</p> <input type="checkbox"/> the investor generates profit; <input type="checkbox"/> the investor incur loss; <input type="checkbox"/> the investor does not generate profit and does not incur loss.
10	<p>Are persons authorized to conclude transactions on behalf of and for the account of the Client aware that investing in financial instruments with the use of financial leverage may involve a loss (no more than the capital invested)?</p> <input type="checkbox"/> Yes; <input type="checkbox"/> No.
11	<p>What is the main purpose of the Client's investment? <i>(please tick one of the answers that best reflects the purpose)</i></p> <input type="checkbox"/> investing in financial surpluses and achieving profitability above that of bank deposits, while accepting the risk of incurring losses; <input type="checkbox"/> investing in surplus funds and achieving profitability at the level of a bank deposit, without accepting the risk of incurring losses.
12	<p>What percentage of savings does the Client intend to invest in TMS Brokers investment account?</p> <input type="checkbox"/> not more than 5%; <input type="checkbox"/> 6% - 30%; <input type="checkbox"/> 31% - 60%; <input type="checkbox"/> over 60% savings.
13	<p>What level of loss of invested capital would be significant for the Client's financial situation?</p> <input type="checkbox"/> The Client accepts a high risk of losing part or all of the invested funds; <input type="checkbox"/> The Client accepts an average risk of losing part or all of the invested funds; <input type="checkbox"/> Any loss would be significant to the financial position of the Client.

Total points: __ the service is:

- adequate (10 - 25)**
 inadequate (0 - 9)

(date, signature of TMS Brokers employee)

Statement of the Client

I hereby declare that the answers given by me to the above Questionnaire are accurate. I have received information on the services to be provided on the basis of the concluded Agreement, and I have been acquainted with the investment risk associated with these services. I have been informed that as a result of my failure to answer the above questions, TMS Brokers is not able to assess whether I have the knowledge and experience in the field of investments allowing me to independently assess the risk associated with investing in financial instruments offered by TMS Brokers.

TMS Brokers informs that the service provided by TMS Brokers may not meet the individual needs of the Client.

(Date)

(signature of the person authorized to represent the Client)

Additional declaration by the Client if, in accordance with the assessment algorithm, the service is inadequate for the Client

I have received information on the services to be provided on the basis of the concluded Agreement, and I have been acquainted with the investment risk associated with these services. I have been informed that the service to be provided on the basis of the

Agreement concluded is inadequate, as it involves a risk which is not commensurate with my level of knowledge and experience in the field of investment. Despite this warning, I would like to ask TMS Brokers to conclude a Framework Agreement.

(Date)

(signature of the person authorized to represent the Client)